

Binaural masking level differences in actual and simulated bilateral cochlear implant listeners

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At present commercially available bilateral cochlear implants (CIs) improve their users' speech understanding in noise but they employ two independent speech processors that cannot provide accurate and appropriate interaural level and time differences as seen binaurally in normal hearing (NH) listeners. Previous work suggests that binaural cues are accessible to bilateral CI users when presented to single pairs of pitch-matched electrodes, but the scope was limited and the mechanisms remained unclear. In this study, binaural masking level differences (BMLDs) were measured in five bilateral Nucleus-24 CI users over multiple pairs of pitch-matched electrodes. Average BMLD was 4.6 ± 4.9 dB, but large individual variability prevented significance ($p=0.09$). Considering just the 125 Hz condition, as in previous work, phase (N0S0 vs N0S π) and electrode effects were significant. Compared with simulated bilateral CI users, actual bilateral CI users had proportionally higher thresholds for N0S π than N0S0. Together the present results suggest that the performance gap in BMLDs between CI and NH listeners is not due to a lack of sufficient acoustic cues in the temporal envelope domain but to a true binaural deficit related to a central mechanism in deprived binaural processing. © 2010 Acoustical Society of America. [DOI: 10.1121/1.3290994]

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I. INTRODUCTION

Cochlear implant (CI) users can understand most speech in quiet, but the presence of noise can greatly challenge that understanding (i.e., Zeng and Galvin, 1999; Spahr and Dorman, 2004; Stickney *et al.*, 2004). Although a second implant can benefit CI users for hearing in noise (Müller *et al.*, 2002; Litovsky *et al.*, 2004, 2006a; Buss *et al.*, 2008; Litovsky *et al.*, 2009), binaural sensitivity in these bilateral CI users falls short of normal hearing (NH) levels (van Hoesel *et al.*, 1993; Buss *et al.*, 2008; Nopp *et al.*, 2004; Laszig *et al.*, 2004; van Hoesel, 2004; Verschuur *et al.*, 2005; Neuman *et al.*, 2007; van Hoesel *et al.*, 2008). In addition, there is a performance gap between testing the binaural sensitivity of CI users under ideal circumstances (i.e., Long *et al.*, 2006) and their performance under real world conditions (i.e., van Hoesel *et al.*, 2008). So far, measures of true binaural hearing, such as squelch, which requires computation of differences between sounds entering the left and right ears, appear to be of marginal benefit to bilateral CI users (Nopp *et al.*, 2004; Laszig *et al.*, 2004; Long *et al.*, 2006; van Hoesel, 2004; Buss *et al.*, 2008; van Hoesel *et al.*, 2008). Uncoordinated stimulation timing produced by independent speech processors may be one limiting factor for binaural sensitivity. However, data from experiments using the Spear3 research

interface (Hearworks, Pty, Melbourne, Australia), which can deliver highly synchronized stimulation pulses to both ears, still show lower than normal levels of binaural function in CI (Long *et al.*, 2006; van Hoesel *et al.*, 2008; Litovsky *et al.*, 2010).

Binaural masking level difference (BMLD) is one measure of binaural sensitivity (Hirsh, 1948). Unlike head shadow cues or redundancy, BMLD requires precise computations of differences in the sounds entering the left and right ears (Durlach, 1963). For a signal-in-noise detection task, when the signal phase is inverted between the two ears, there is a higher likelihood for detection than when the signal phase is identical between the two ears. BMLD is calculated as the difference in threshold between the two conditions. In NH listeners this difference can be as large as 20 dB for tones (van de Par and Kohlrausch, 1997). Under similar stimuli conditions, BMLDs measured in bilateral CI users averaged ~ 9 dB when stimulating with single pairs of electrodes (Long *et al.*, 2006). Similar tests in children show 6.4 dB for CI and about 10 dB for NH (Van Deun *et al.*, 2009a, 2009b). The cause of this gap between normal and CI listeners is not well understood.

Furthermore, BMLD in CI users appears to be much smaller for more complex sounds. An experiment that used speech under realistic listening conditions reported < 1.5 dB of binaural unmasking (van Hoesel *et al.*, 2008), which is significantly less than the 9 dB found in Long *et al.*, 2006. In contrast, NH listeners typically had BMLD values that fell

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between the range seen for tones and speech (Levitt and Rabiner, 1967). This would suggest that in NH listeners binaural processing of BMLD for speech can be predicted by BMLD for tones. In the present study, we examined several factors that could potentially affect BMLDs in bilateral CI users under more realistic stimulation conditions than previously studied.

The first factor is that BMLD values may be influenced by electrode position along the cochlea. Complex stimuli such as speech have multiple frequency components, and in normal hearing listeners, BMLD decreases with increasing signal frequency (van de Par and Kohlrausch, 1997). BMLDs were shown to be similar between a 125 Hz sinusoid and a 125 Hz envelope in the form of “transposed” stimuli with a 4000 Hz carrier. This “transposition” of the stimuli places the 125 Hz signal at the 4000 Hz cochlear region. The similarity in BMLDs for NH listeners suggests that the 125 Hz sinusoid and the 125 Hz envelope of the transposed stimuli were processed similarly despite the activity at different cochlear regions (125 Hz vs 4 kHz). This is relevant to continuous interleaved sampling (CIS) based processing strategies in CI as sounds are typically transmitted via envelopes on top of a high pulse rate carrier (Wilson *et al.*, 1991). For CI users, BMLDs were reported for basal, middle, and apical electrode pairs, but only one pair was tested in each subject (Long *et al.*, 2006). Although BMLDs are expected to be similar over multiple cochlear regions in a single CI user as they are in NH listeners, it has not yet been experimentally verified.

A second factor that may influence BMLD levels in CI is the stimulus signal frequency. In normal hearing listeners, ~10 dB BMLDs were reported with signal frequencies as high as 4000 Hz (van de Par and Kohlrausch, 1997). Existing studies show that CI users have difficulty discriminating higher pulse rates and modulation frequencies starting around 300 Hz under monaural (Zeng, 2002) and binaural (Carlyon *et al.*, 2008) conditions. The 125 Hz signal frequency used in Long *et al.*, 2006 is well within the temporal discrimination ability of CI users, but BMLD at higher signal frequencies has not been systematically addressed. If the mechanisms that cause this limitation play a role in binaural sensitivity, BMLD values may deteriorate with higher stimulus frequencies and with complex sounds that have higher frequency components.

Third, there is the possibility that the interaction between narrowband noise and a 125 Hz signal combined with the envelope extraction by the speech processor produces enough detectable difference cues between NmSm and NmS-m, where m and -m indicate monaural and the phase reversed monaural noise and signal stimuli. If these NmSm and NmS-m are discriminable monaurally, it could inflate BMLD values. For this reason, it is important to test whether BMLDs could be a result of monaural discrimination of the signal envelope.

Fourth, prior auditory deprivation can negatively impact binaural processing (Silman *et al.*, 1984; Hall and Grose, 1993; Gray *et al.*, 2009). It is known that the lack of auditory inputs can lead to neural degeneration in the periphery (Hinojosa and Lindsay, 1980). Furthermore, there is evidence, at

least in children, that binaural processing continues to develop with experience and age (Hogan *et al.*, 1996; Litovsky, 1997; Hall *et al.*, 2004; Litovsky *et al.*, 2006b), and a loss of binaural input could arrest this development.

A recent study of patients with unilateral hearing loss due to atresia suggested that there is a loss of 2 dB binaural advantage due to squelch for each decade of life with only unilateral hearing (Gray *et al.*, 2009). The recovery of binaural sensitivity was not studied. However, it was earlier reported that adult patients with unilateral conductive hearing loss experience a blunting of binaural sensitivity, which eventually recovers to normal or near-normal levels by 1 year after surgical intervention (Hall and Grose, 1993). These subjects had normal cochlear function and once the conductive hearing loss was remedied, their hearing function was essentially restored to normal. Despite restoring the ability to hear, the cochlear implants do not restore normal hearing due to coarse frequency resolution, and furthermore, the use of independent speech processors virtually guarantees uncoordinated stimulation in pulse timing, thus providing abnormal interaural time difference (ITD) cues in the fine-structure of sound. Therefore even if the auditory system is capable of recovering normal binaural function, it would not be able to do so given abnormal and coarse frequency inputs provided by both CIs.

Auditory deprivation on unilateral cochlear implant use has been documented (Tyler and Summerfield, 1996), the effects of which on binaural function in adults has not been adequately explored and there are limited studies. The main effect is that some binaural function such as squelch is recovered over the course of 1 year (Buss *et al.*, 2008). The evidence for bilateral hearing aids is a little clearer. Comparing two groups, one fitted unilaterally with hearing aids and the other bilaterally, there was a difference between ears in the unilaterally implanted group (Silman *et al.*, 1984). As a result of auditory deprivation, it is unknown to what extent limited binaural processing is due to the implant and speech processor and how much is due to degeneration of binaural processing in the central auditory system. This may be addressed, in part, by comparing the performance of NH listeners under acoustic CI simulation to that of actual CI users.

The overall aim of this study is to investigate the extent of binaural sensitivity quantifiable by BMLD in bilateral CI users and why it falls short of normal performance on binaural listening tasks. Building upon the results from Long *et al.* (2006), the questions that this study will directly address are as follows: (1) How BMLDs compare across basal, middle, and apical pairs of pitch-matched electrodes; (2) how BMLDs change with signal frequency; (3) would masking level differences under monaural conditions predict binaural BMLDs; and (4) how do normal hearing listeners compare when subjected to acoustic CI simulations.

II. METHODS

A. Subjects

Five bilaterally implanted Nucleus 24 patients were tested (Table I). Several of these patients wore hearing aids for a number of years. The two implantations were spaced 1

TABLE I. CI subjects.

	Gender	Age	Age at deafness	Etiology	Age at hearing aid use	Left/right implanted (years ago)	Basal pair (L/R)	Middle pair (L/R)	Apical pair (L/R)	HINT speech scores quiet/noise (%)
CI1	f	62	26	Ototoxicity	26	6/18	5/5	12/12	20/21	L:83/32 R:95/65 Bi: NA
CI2	m	51	3	Congenital	3	2/3	5/5	12/12	22/22	L:0/0 R:0/0 Bi:0/not tested
CI3	f	63	37	Meniere's	43	4/5	5/5	12/10	20/20	L:23/not tested R:91/93 Bi 99/96
CI4	f	72	26	Otosclerosis	38	3/4	6/6	11/16	14/16	L: 91/29 R:95/11 Bi:99/40
CI5	m	68	34	Progressive	42	6/6	5/5	13/12	20/20	L: 98/39 R: 98/55 Bi: 100/58

year apart in three subjects and 12 years in one subject. The fifth subject had both ears implanted simultaneously. Speech performance scores for these subjects are listed in Table I. Sound input levels were set so that maximum stimulation levels were approximately 95% of most comfortable level (MCL) for that electrode pair.

For each subject, pairs of pitch-matched electrodes were found and balanced for loudness at MCL since binaural sensitivity is higher for pitch-matched pairs in CI (van Hoesel and Clark, 1997; Lawson *et al.*, 1998; Long *et al.*, 2003, 2007) and frequency-matched stimuli in NH (Henning, 1974; Nuetzel and Hafter, 1981). As an example, an apical pair (i.e., 20L, 20R) was selected and threshold and comfort levels were determined individually. Each electrode in a pair was played sequentially and the subject was asked to make a pitch judgment. If there was a perceived pitch difference, the next adjacent electrode on one side was selected, typically the more recently implanted ear, and the pitch was compared again. This search for a pitch-matched pair was iterated as many times as necessary until a suitable match was found. If needed, the loudness was balanced by lowering the MCL of the louder electrode to match. The pitch and loudness match was confirmed again. In a few cases, subjects reported that the similarity of pitch match changed when balancing loudness, requiring a search for a different pitch-matched pair. Three pairs of electrodes—apical, middle, and basal—were tested in each subject. The exact locations of these matched pairs are listed in Table I. Finding and balancing each pair of pitch-matching electrodes could take anywhere from 30 min to over 1 h, and sometimes longer.

B. Stimulus and hardware configuration

All stimuli were generated digitally using MATLAB (Mathworks, Inc., Natick, MA) with 44.1 kHz sampling rate

through a PC sound card. Stimuli consisted of 300 ms sinusoids at 125, 250, or 500 Hz. Masking sounds were 400 ms band-passed noise with bandwidths of ± 25 , 50, and 100 Hz, centered at each sinusoid, respectively. NOS0 signals denote masking noise that was identical for both ears, and sinusoids that had a zero-phase difference (also identical) between the two ears. NOS π denotes the same masking noise, but sinusoids that are 180° out of phase (inverted) between the two ears. The ratio of amplitude of the sinusoid to the noise is the signal to noise ratio (SNR) in decibel.

The signal processing algorithm is similar to that described in Long *et al.*, 2006. The stimuli were presented through line-in jack, bypassing the microphone, on the Spear3 research interface (Hearworks, Pty, Melbourne, Australia) running a custom program that implemented a bilateral one-channel CIS program. Audio signals were digitized by the on-board analog-to-digital converters. The signal was half-wave rectified and low-passed filtered using a four-pole Butterworth filter. For the 125 and 250 Hz stimulus conditions, the signal was low-passed at 500 Hz, and the envelope of the signal was used to modulate a pulse train with a rate of 1000 pulses per second (pps). For the 500 Hz stimulus condition, the signal was low-passed at 1000 Hz before modulating a 2000-pps pulse train. A standard loudness growth map was used to compress the amplitude of the signal (as in Long *et al.*, 2006). The stimulation mode used was MP1+2 (monopolar), with 25 μ s per phase and an 8 μ s pulse gap. The left and right pulsatile outputs of the Spear3 were verified to be synchronized to within 1 μ s by viewing signals on an oscilloscope after being fed through an “implant-in-a-box” (Cochlear Pty, Lane Cove, Australia).

C. Implementation of acoustic CI simulation

Ten normal hearing subjects (20–26 years old) were tested using unprocessed stimuli along with several different

vocoder configurations to acoustically simulate a bilateral CI listening condition. Only two subjects (NH1 and NH2) who were tested under unprocessed conditions returned for testing with the vocoder. A single-channel noise-excited vocoder was used to determine the extent to which envelope cues were preserved. Inputs were identical to those used for the experiments with CI listeners. Stimuli were half-wave rectified and low-passed at 500 Hz to extract the envelope. The resulting signal was used to modulate a broadband noise. Since the one-channel noise vocoder used here does not accurately simulate the conditions in which basal, middle, or apical pairs of electrodes were stimulated, a second vocoder model based on Gaussian-enveloped tones (Lu *et al.*, 2007) was used to simulate synchronized and pulsatile stimulation for different electrode pairs. As with the noise vocoder, stimuli were half-wave rectified and low-pass filtered. The resulting envelope signal was used to modulate the amplitude of tone pulses that had Gaussian-shaped time-amplitude envelopes. The pulse rate was set at 1000 Hz for the Gaussian-enveloped tone pulses. The duration of each pulse was approximately 1 ms. The simulated basal, middle, and apical channels had center frequencies of 5367, 1426, and 369.5 Hz, based on Greenwood's map (Greenwood, 1990).

Gaussian-enveloped tones have been used in binaural psychophysical experiments (Buell and Hafter, 1988; van den Brink and Houtgast, 1990), but its application in a vocoder has not been reported previously. It is similar to the transposed tones used in van de Par and Kohlrausch, 1997. Two important characteristics of cochlear implants, which can be modeled with the vocoder, are not accounted for in current noise-based CI simulations. The first is pulsatile stimulation. With CI the signal envelope is sampled at the pulse rate. Noise-excited vocoders have a continuous representation of the signal envelope, which at low stimulation rates, the deficiency to simulate well separated pulses becomes readily apparent. The spread of excitation can be controlled by the duration of the pulse, which can widen or narrow the spectral spread produced by each pulse. Since ITD sensitivity is better at lower stimulation rates, and pitch perception is affected by the spread of excitation, the ability to control these factors makes the Gaussian-enveloped tone vocoder a potentially useful platform for acoustically simulating bilateral CI use.

D. Testing procedure

Masking level thresholds were estimated by a three-interval, forced-choice adaptive procedure with a two-down/one-up decision rule (Levitt, 1971). The custom software was implemented in MATLAB (Mathworks, Inc., Natick, MA). All three intervals contained N0. The signal S0 or S π was added to a single, randomly assigned interval; thus the target interval was either NOS0 or NOS π . The subject's task was to identify which one of the three intervals contained the tone (NOS0 or NOS π vs N0). Two correct successive responses decreased the SNR for the next trial, while one incorrect response immediately increased the SNR for the next trial. Each change in direction of SNR (decreasing to increasing or vice versa) counted as a reversal. After eight total

reversals, with stepsize decreasing from 5 to 1 dB after the initial three reversals, the SNR at which the last four reversals occurred were averaged to produce an estimate of the SNR threshold. Smaller values indicate better detection of the signal in noise compared to a larger SNR. Each run of the test consisted of two, randomly interleaved tracks, NOS0 and NOS π to help control for subject state between the two conditions. Once eight total reversals were observed in one track, the program presented stimuli exclusively from the other track until eight total reversals were also observed. With every subject, three threshold estimates were obtained for each phase (NOS0 vs NOS π), signal frequency, and electrode pair combination.

Data for monaural conditions were obtained by disconnecting either the left or right transmitting coil from the subject's head and repeating the same test protocol described above. Normal hearing subjects were tested in a sound-proof chamber using calibrated headphones (HDA 200, Sennheiser, Old Lyme, CT). Sound levels were set to 70 dB sound pressure level (SPL), calibrated to a 1 kHz tone having the same rms level as the stimuli used in the experiment.

E. Data analysis

Average values were calculated for NOS0 and NOS π . The BMLD was calculated as the overall difference between the average NOS0 and NOS π values. An unpaired t-test was used to determine whether the three thresholds from NOS0 were statistically different from the three NOS π thresholds, with $p < 0.05$ being the criterion for significance (see asterisk symbols).

For the pooled data, average thresholds and BMLD were calculated over all stimulus frequencies, electrode pairs, and subjects. A repeated-measures analysis of variance (r.m. ANOVA) was performed on the thresholds to determine the effect of phase (NOS0 and NOS π), electrode (basal, middle, and apical), and signal frequency (125, 250, and 500 Hz). A second r.m. ANOVA was repeated on just the data set containing 125 Hz.

III. RESULTS

A. Binaural masking level differences

Masking level thresholds for various combinations of signal frequency and electrodes for NOS0 and NOS π are shown in Fig. 1. Masking level thresholds over subjects and conditions were all above 0 dB for NOS0, ranging from 0.3 to 11.6 dB SNR (signal to noise ratio), and both below and above 0 dB SNR (−10.6 to 12.5 dB) for NOS π . In fact, thresholds in the NOS π condition only reached negative values, where the amplitude of the signal was less than that of the noise, in two subjects (CI1 and CI5). On an individual basis, masking thresholds were generally lower for NOS π compared to NOS0, indicating a BMLD (see Table II).

BMLD values are shown in Fig. 2, where vertical bars show the BMLD calculated for each electrode pair grouped by stimulus. Asterisks indicate statistically significant BMLDs (unpaired t-test, $p < 0.05$), that is, higher average thresholds for NOS0 than NOS π . This comparison helps to identify cases in which there existed a meaningful difference

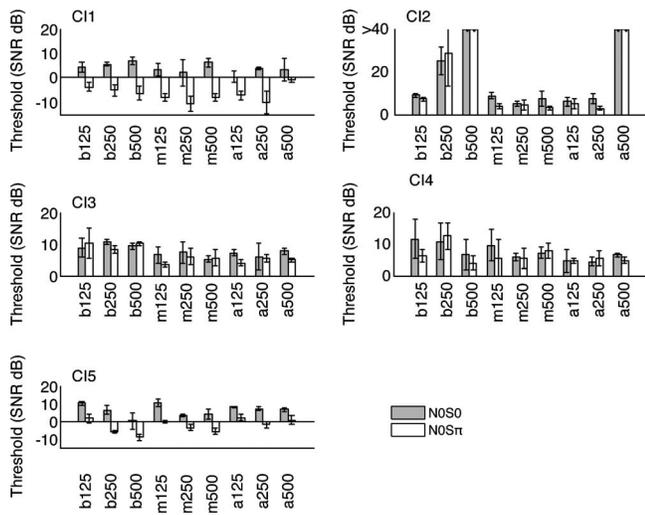


FIG. 1. Masking level thresholds for CI. Masking level thresholds are indicated by each bar. These indicate the smallest signal-to-noise ratio (in decibel) at which the subject was able to detect the signal in noise (see Sec. II). Each group represents a different electrode pair and signal frequency condition. The labels designate the electrode pair (base/middle/apex) and stimulus condition (125/250/500 Hz). For example, a250 indicates apical pair of electrodes and stimulus condition of 250 Hz. In each group, the shaded bars represent the average of three trials from NOS0 while the unshaded bars designate the NOS π condition. The error bars represent standard deviation. For subject 2, some data points were truncated at 40 dB as the subject was unable to complete the signal detection task under those conditions.

in performance between the two conditions, regardless of the magnitude of the difference (i.e., small but significant vs large and insignificant BMLDs). For CI1 and CI5, BMLDs were statistically significant over almost all basal, middle, and apical electrode pairs and stimulus conditions. Average BMLDs (mean \pm s.d.) were 10.7 ± 3.4 and 8.8 ± 2.2 dB, respectively, for CI1 and CI5. BMLDs observed for CI2 and CI3 were generally lower (mean = 1.5 ± 2.8 and 1.2 ± 1.8 dB, respectively), with only two out of nine conditions showing statistically significant BMLDs. CI4 showed no statistically significant BMLDs (mean = 1.2 ± 2.4 dB) under any stimulus conditions.

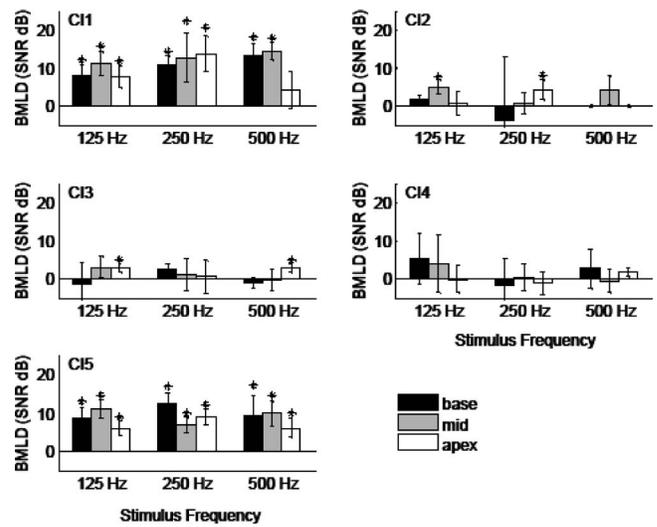


FIG. 2. Binaural masking level differences from five CI subjects. Each bar represents a BMLD value (y-axis) and is the mean difference of the NOS0 and NOS π thresholds in Fig. 1. BMLDs are grouped by stimulus condition along the x-axis. Data from different electrode pairs are indicated by the shading. The error bars represent the standard deviation. “*” denote statistically significant BMLD values (unpaired t-test, $p < 0.05$).

Over all subjects and conditions tested, the average BMLD was 4.6 ± 4.9 dB. The main effect of phase on thresholds (NOS0 vs NOS π) was not significant (ANOVA, $F_{1,4} = 4.954$, $p = 0.09$). There was no significant effect detected of either electrode or rate (ANOVA, $p > 0.05$). The lack of significance in this case is most likely due to the large range of performance across individuals. It is worth noting that for each subject, thresholds for NOS0 were always higher than NOS π , but the standard deviations were always the same or higher for NOS π (see Table II).

When only the 125 Hz condition was considered, as in Long *et al.*, 2006, four of five subjects in the present study showed a significant BMLD in at least one cochlear region or electrode pair, with the average being 4.9 ± 3.8 dB. Results from repeated-measures ANOVA showed a significant

TABLE II. Average thresholds and BMLDs over all stimulus conditions and electrode pairs.

Subject	NOS0 (dB SNR)	NOS π (dB SNR)	BMLD (dB SNR)	R		L	
				NmSm	NmS-m	NmSm	NmS-m
CI1	3.9 ± 2.1	-6.7 ± 3.1	10.7 ± 3.4	3.1 ± 2.2	3.6 ± 2.3	3.2 ± 3.0	1.7 ± 1.1
CI2	16.4 ± 14.6	15.0 ± 16.3	1.5 ± 2.8	28.3 ± 14.5	25.0 ± 16.6	25.7 ± 15.9	26.3 ± 15.6
CI3	7.6 ± 1.7	6.4 ± 2.5	1.2 ± 1.8	22.7 ± 14.8	24.8 ± 12.9	23.38 ± 18.2	23.39 ± 18.2
CI4	7.4 ± 2.6	6.2 ± 2.6	1.2 ± 2.4	24.2 ± 17.4	24.3 ± 17.3	34.3 ± 6.6	31.2 ± 10.3
CI5	6.2 ± 3.3	-2.6 ± 3.9	8.8 ± 2.2	6.8 ± 1.6	6.6 ± 2.1	5.8 ± 2.6	6.5 ± 1.8

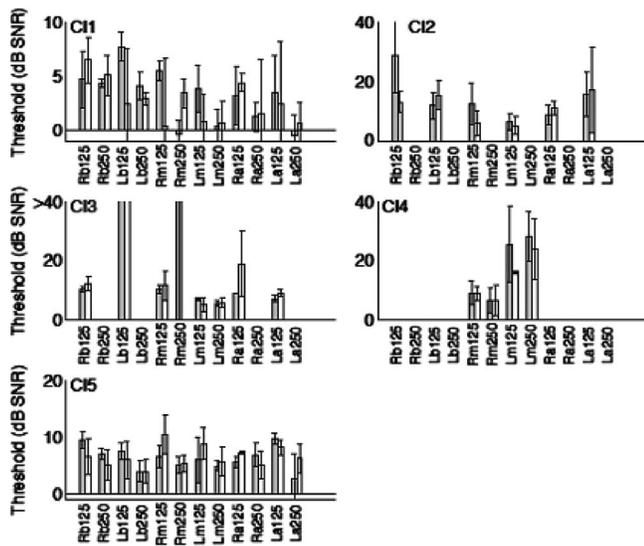


FIG. 3. Monoaural masking level thresholds. Format is similar to Fig. 1. The labels along the x-axis include “R” and “L” to specify right or left ear for the monaural condition. Note that some bars representing high threshold values were truncated (e.g., C13).

effect of phase ($F_{1,4}=10.130$, $p=0.033$) and electrode ($F_{2,8}=13.366$, $p=0.003$), but no interaction between the two factors ($F_{2,8}=3.844$, $p=0.068$). *Post-hoc* t-tests with Bonferroni correction showed a significant difference ($p=0.023$) between average NOS0 (mean= 7.1 ± 3.2 dB) and NOS π (mean= 2.24 ± 5.2 dB) thresholds. Pair-wise comparisons of the electrodes revealed a significant difference ($p=0.023$) between thresholds of apical (mean= 3.41 ± 4.4 dB) and basal (mean= 6.50 ± 4.7 dB) electrodes. There were no significant differences between the thresholds of the middle electrode (4.2 ± 5.5 dB) and either apical ($p=0.82$) or basal ($p=0.71$) electrodes. Although electrodes had an effect on threshold, there was no significant effect on BMLD as a function of cochlear region (r.m. ANOVA, $F_{2,8}=3.842$, $p=0.068$).

Separate analysis of the 250 (mean= 4.6 ± 5.9 dB) and 500 Hz (mean= 4.5 ± 5.1 dB) conditions did not yield any statistical significance (ANOVA, $p>0.05$). Despite the large individual variability, these data suggest that at least some CI subjects can take advantage of binaural processing over multiple electrode pairs and signal frequency conditions.

B. Monoaural detection thresholds

This study was also concerned with whether subjects can detect differences in amplitude modulation using a single ear. It is known that CI users can have very high sensitivity to amplitude modulations (Shannon, 1992). The performance under monaural conditions can reveal the contribution of binaural processing compared to what can be detected monaurally. Figure 3 shows masking level difference (MLD) thresholds from each ear separately for all five subjects. Only C11 and C15 were able to complete all test conditions, and thresholds for subjects C2–C4 were capped at 40 dB. Absolute thresholds tended to be higher than with the binaural condition, and MLDs between the two stimulus conditions, NmSm vs NmS-m, were not much different, where Nm in-

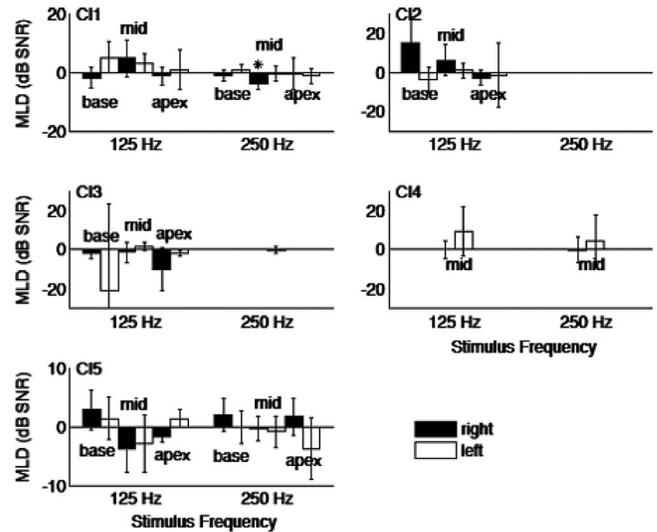


FIG. 4. Monoaural MLDs. Format is similar to Fig. 2. For each group, shaded bars indicate data from the right ear, while unshaded bars are data from the left ear. Within each group, the left two bars are data from basal pair of electrodes, the third and fourth bars are from the middle pair of electrodes, and the last two bars are from the apical pair of electrodes.

dicates monaural noise, Sm is the monaural sinusoid, and S-m is the inverted monaural sinusoid. Under monaural conditions, no significant MLDs (unpaired t-test, $p>0.05$) were observed in any of the five subjects except for a single condition (C11, 250 Hz) (Fig. 4).

Table II lists the average values obtained for monaural conditions. For C11 and C15, thresholds obtained for both right and left ears under monaural conditions are similar to the data from NOS0 condition, but not NOS π . These data from the monaural tests demonstrate that the BMLDs observed for the binaural condition cannot be explained by parallel but independent signal detection (via amplitude modulation of the signal envelope) between the left and right ears.

C. Binaural unmasking with acoustic simulation of CI

Because a prolonged lack of auditory input can have a negative impact on the normal functioning of the auditory system, the present manipulations were also conducted in normal hearing listeners using acoustic stimuli that were either unprocessed or with CI simulations. This approach would enable a distinction of issues stemming from CI-specific limitations related to the BMLD phenomenon. Thresholds, measured using unprocessed sounds, were on average 1.6 ± 3.5 dB for NOS0 and -6.3 ± 5.8 dB for NOS π (Fig. 5). BMLDs in unpracticed listeners ranged from 1.5 ± 1.1 to 13.9 ± 1.3 dB SNR (Fig. 6), which had a slightly wider range than that for CI listeners. Average BMLD was 7.9 ± 4.7 dB (see Table III for individual values). There was a significant effect of both phase (ANOVA, $F_{1,5}=19.855$, $p=0.007$) and signal frequency ($F_{2,10}=35.494$, $p \leq 0.05$), but no significant interaction. Considering that these NH subjects had minimal practice, the range data reported here are still consistent with that reported in other studies (i.e., van de Pol and Kohlrausch, 1997).

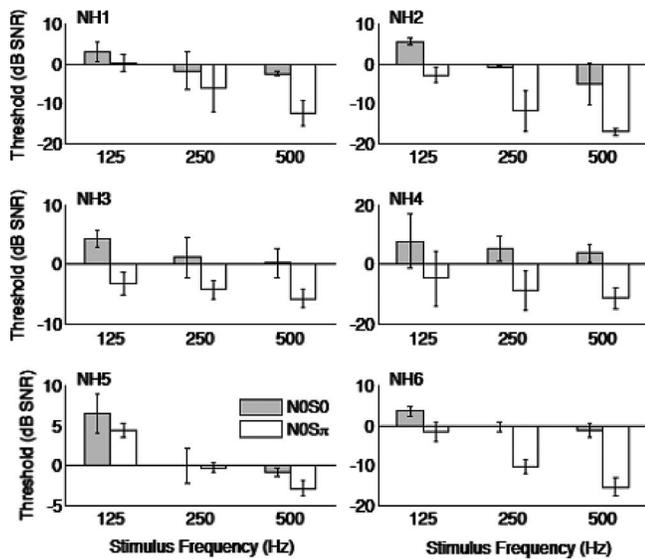


FIG. 5. Masking thresholds for unprocessed stimuli in NH listeners. Format is the same as Fig. 1.

When tested with a noise-excited, one-channel acoustic CI simulation, thresholds tended to be higher than in the unprocessed condition and quite variable (Fig. 7). Average thresholds were 12.2 ± 6.7 dB for NOS0 and -2.2 ± 12.9 dB for NOS π . This indicated that subjects tended to have much more difficulty with NOS0. However, subjects showed a large drop in thresholds with NOS π as seen in NH7 and NH8 for the noise-excited vocoder with 125 Hz signal condition. Average BMLD was 14.4 ± 14.7 dB. The effect of phase approached but did not reach statistical significance (ANOVA, $F_{1,5}=6.538$, $p=0.051$), and signal frequency had no significant effect ($F_{1,5}=1.179$, $p=0.327$).

Since using a single-channel noise vocoder does not accurately simulate the single-electrode stimulus conditions with electrically pulsed signals under which the CI users were tested, a vocoder based on Gaussian-enveloped tones was used to test simulated effects of basal, middle, and apical

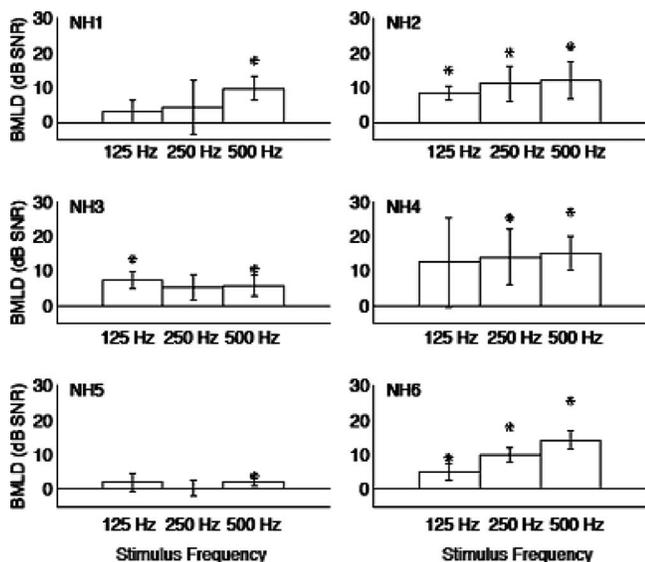


FIG. 6. BMLD for unprocessed stimuli in NH listeners. Format is the same as Fig. 2.

TABLE III. Average BMLDs over all stimulus conditions and electrode pairs for NH listeners.

Subject	Unprocessed (dB SNR)	Noise vocoder (dB SNR)	Gaussian vocoder (dB SNR)
NH1	5.8 ± 3.6	8.5 ± 1.2	8.9 ± 3.1
NH2	10.6 ± 1.9	9.3 ± 4.5	11.7 ± 6.3
NH3	6.2 ± 1.1
NH4	13.9 ± 1.3
NH5	1.5 ± 1.1
NH6	9.8 ± 4.6
NH7	...	26.6 ± 4.0	19.3 ± 4.0
NH8	...	21.8 ± 15.4	15.9 ± 9.8
NH9	...	28.0 ± 2.7	16.4 ± 4.2
NH10	...	-7.9 ± 14.4	3.1 ± 5.8

pair stimulations (Fig. 8). Thresholds for the Gaussian-enveloped tone vocoder averaged 4.0 ± 4.1 dB for NOS0 and -8.6 ± 7.2 dB for NOS π . Phase showed a statistically significant effect (ANOVA, $F_{1,5}=27.080$, $p=0.003$) but there was no effect of channel ($F_{2,10}=2.898$, $p=0.102$) or signal frequency ($F_{1,5}=2.772$, $p=0.157$). In addition, there were no significant interactions. Average BMLD was 12.6 ± 7.8 dB. Although BMLD values from the Gaussian-enveloped tone vocoders were similar to the noise vocoder condition, average NOS0 threshold was nearly 8 dB higher for noise vocoder.

A comparison of the NOS0 and NOS π threshold values from CI and NH using vocoder stimuli is shown in Fig. 9. Each data point represents a single-electrode pair and signal frequency combination from the five CI subjects and six NH subjects. The mean values for CI subjects were 6.6 ± 2.8 dB for NOS0 and 1.6 ± 6.1 for NOS π . The mean values for simulated CI (Gaussian vocoders) with NH listeners were 4.0 ± 4.1 dB for NOS0 and -8.6 ± 7.2 for NOS π . The mean values for unprocessed stimuli with NH listeners were 1.6 ± 3.5 dB for NOS0 and -6.3 ± 5.8 for NOS π . These mean values of NOS0 were significantly different from each other (unpaired t-test, $p < 0.05$). Mean values of NOS π were also significantly different (unpaired t-test, $p \leq 0.05$), except between the simulated CI and unprocessed stimuli in NH listeners (unpaired t-test, $p=0.26$). Despite the large individual variability, Fig. 9 illustrates three important points. First, CI implant users tended to produce the highest (or worst) thresholds in both binaural conditions, particularly in the NOS π condition. Second, the CI simulations captured the best performance seen in the CI user group, but not the poorest performance (three of five subjects in the present study). Third, the similar performance between CI simulations and unprocessed conditions in NH listeners suggests that the acoustic cues in the envelope are adequate to support normal BMLD performance. These results have important implications for CI signal and neural processing and will be discussed next.

IV. DISCUSSION

The purpose of this study was to establish BMLDs using an expanded set of electrodes and signal frequencies in order help understand why BMLDs are limited in bilateral CI users

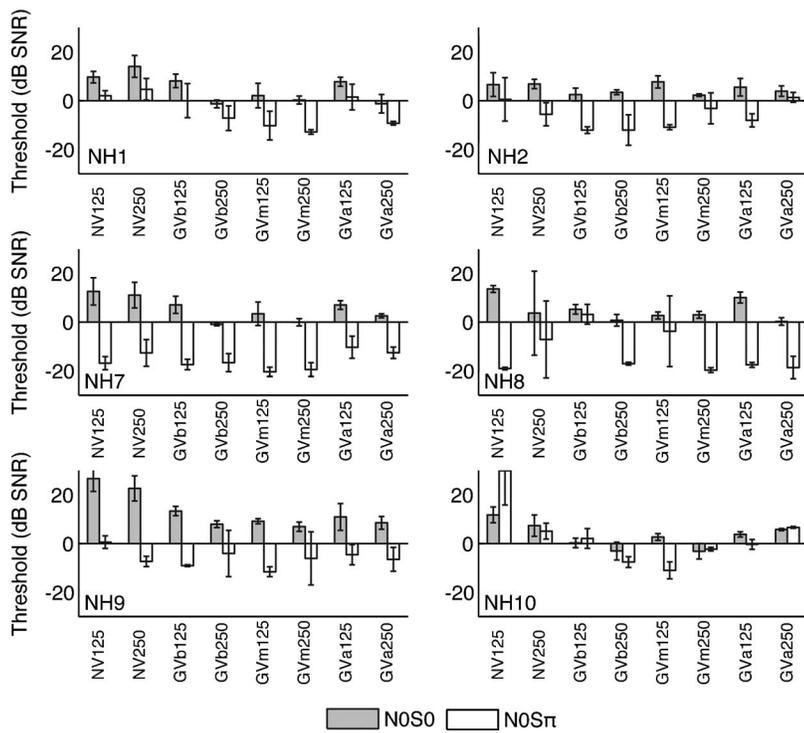


FIG. 7. Masking thresholds for NH listeners using acoustic CI simulation. Format is the same as Fig. 1. Labels along the x -axis include NV—noise-excited vocoder, GVb—Gaussian-enveloped tone vocoder (basal), GVm (middle), and GVa (apical). See Sec. II for details on the Gaussian-enveloped tone vocoder.

compared to NH listeners. The main findings of this study are that (1) BMLDs were measurable at multiple electrode locations for various signal/masker conditions, (2) BMLDs were more likely to be observed for the 125 Hz signal condition than for 250 and 500 Hz, (3) MLDs obtained through monaural testing were insufficient to account for BMLD, and (4) NH listeners using an acoustic CI simulation performed better than CI users, particularly with the $NOS\pi$ condition.

BMLDs and the related phenomenon of noise squelch in bilateral CI users have been reported in just a handful of studies (Nopp *et al.*, 2004; Laszig *et al.*, 2004; van Hoesel, 2004; Long *et al.*, 2006; Buss *et al.*, 2008; van Hoesel *et al.*, 2008), and of these, only a few groups have investigated

BMLDs using precisely controlled stimulation via single pairs of pitch-matched electrodes that are temporally synchronized through a research interface (van Hoesel, 2004; Long *et al.*, 2006; van Hoesel *et al.*, 2008) as opposed to using the patient's own pair of clinical processors (Laszig *et al.*, 2004; Schleich *et al.*, 2004; Buss *et al.*, 2008). The average BMLD obtained in this study, 4.6 ± 4.9 dB falls

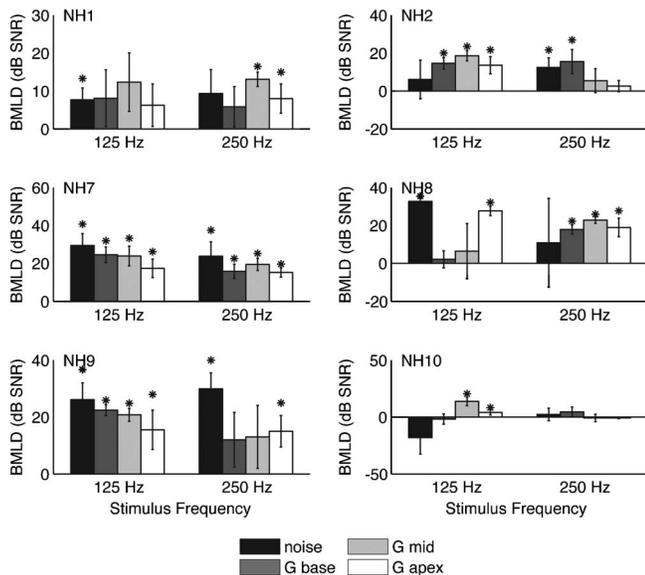


FIG. 8. BMLD for NH listeners subjected to acoustic CI simulation. Format is the same as Fig. 2.

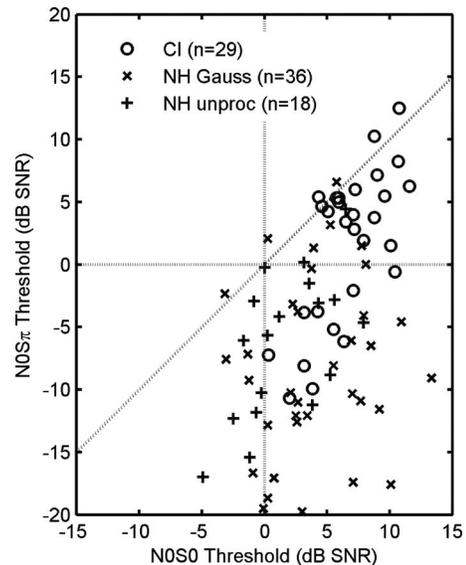


FIG. 9. Comparison of CI users to NH listeners with acoustic CI simulation. $NOS0$ thresholds are on the x -axis. $NOS\pi$ thresholds are on the y -axis. Data points are from the 125 and 250 Hz stimulus conditions only. “O’s” are data from the five CI subjects. (Mean [$NOS0$; $NOS\pi$]=[6.6 ± 2.8 ; 1.6 ± 6.1] dB SNR.) “X’s” are data from six NH listeners using the Gaussian-enveloped tone vocoder. (Mean [$NOS0$; $NOS\pi$]=[4.0 ± 4.1 ; -8.6 ± 7.2] dB SNR.) “+’s” are data from six NH listeners with unprocessed stimuli. (Mean [$NOS0$; $NOS\pi$]=[1.6 ± 3.5 ; -6.3 ± 5.8] dB SNR.)

within the range of BMLDs (1.5–9 dB) reported in literature for bilateral CI users (van Hoesel, 2004; Long *et al.*, 2006; van Hoesel *et al.*, 2008).

Although the stimuli used in this experiment followed that of Long *et al.* (2006), which reported an average BMLD of 9 dB, the data from this study's subject pool displayed considerably more variability. Closer inspection of the data in Long *et al.*, 2006 reveals that average thresholds (as opposed to BMLDs) were higher in this study (Fig. 9). NOS0 threshold was 6.6 dB compared to 3 dB in Long *et al.*, 2006, and NOS π threshold was 1.6 dB compared to –6 dB. Individually, data from CI1 and CI5 were most similar to those in Long *et al.*, 2006 where three of four subjects had NOS0 thresholds greater than 0 dB and NOS π thresholds near –9 dB. Their consistent performance over most electrode and signal frequency conditions suggests that binaural unmasking can be a useful mechanism for signal detection in noise. The smaller BMLD values from the other subjects, CI2–CI4, contributed to the lack of statistical significance ($p=0.09$) of BMLD over all stimulation conditions and underscore the fact that binaural unmasking may be of limited or no benefit to some bilateral CI users.

In comparing BMLDs with tones and speech, one methodological consideration is that, rather than using an out-of-phase signal as was done in both Long *et al.*, 2006 and the present study, the speech stimuli in van Hoesel *et al.*, 2008 were delayed by 700 μ s, which may have contributed to a smaller unmasking effect. For a 125 Hz tone, 700 μ s corresponds to a 31.5° phase shift. An out-of-phase signal maximizes the difference between two ears since $\sin(x) - \sin(x + 180^\circ) \leq 2$. In addition, since BMLDs for higher frequencies (250, 500 Hz) in this study were not statistically significant, having those higher frequencies present in speech would may not result in observable BMLDs for bilateral CI users. It remains unclear exactly how much contribution 700 μ s makes as it is only one of multiple factors that could potentially impact BMLDs for speech.

A. Effect of signal frequency

If the analysis was restricted to just the 125 Hz signal condition (the only tested frequency in Long *et al.*, 2006), the effect of signal phase was statistically significant ($p=0.033$). Because the higher signal frequencies were not tested in Long *et al.*, 2006 it is unknown how their subjects would have performed at 250 and 500 Hz, although it could be speculated that BMLD levels may be similar to CI1 and CI5. With CI1 and CI5, BMLDs were observed for most electrode pair and signal frequency combinations, while two others had BMLDs for only a few combinations, which included 125 Hz. This suggests that the lower signal frequency may be more useful to bilateral CI users as a group, while some individuals could experience binaural unmasking at higher signal frequencies.

The limited BMLD at higher signal frequencies may be related to synchronous activation over broad regions at 300 Hz compared to lower rates (van Hoesel *et al.*, 2009), or poor temporal discrimination of signals above 300 Hz (Zeng, 2002). The same temporal limitations apply even when the

stimuli are presented diotically (Carlyon *et al.*, 2008). Although the auditory nerves show highly synchronized responses to electrical stimulation (Hartmann *et al.*, 1984), it is unclear where this temporal limitation is generated along the auditory pathway. If the temporal features are lost before the CI user's auditory system can process the binaural signal, smaller BMLDs could result. In comparison, NH listeners have BMLDs near 10 dB at frequencies as high as 4 kHz (van de Par and Kohlrausch, 1997) as well as larger BMLDs for speech (Levitt and Rabiner, 1967). As speech has a broad spectral composition, the smaller BMLDs of CI users at higher signal frequencies may also help to explain smaller speech BMLDs.

B. Effect of electrode position

BMLDs were also analyzed by electrode position to look for any systematic changes with cochlear region. Although there was a significant effect of cochlear region on thresholds, there was no significant interaction between phase and electrode on thresholds for the 125 Hz condition, meaning that BMLD was not statistically different between electrodes. This indicated that cochlear region was likely not a limiting factor for speech BMLD. The data presented here showed that in at least two subjects, BMLDs were observed at all basal, middle, and apical pairs and could be 10 dB or higher. Since there was no significant effect of electrode position on BMLD, it follows that binaural unmasking was not limited by cochlear region. This result is consistent with NH listeners exhibiting binaural unmasking to the signal in envelope of a high frequency carrier (van de Par and Kohlrausch, 1997).

C. Peripheral mechanisms affecting BMLD

As demonstrated by the present data and prior studies, binaural unmasking can be observed in bilateral CI users, but even the best CI performers in these studies had BMLD values that fell below the 15–20 dB reported for NH listeners (van de Par and Kohlrausch, 1997). This could be due to either inadequate delivery of binaural cues to the peripheral nervous system, inadequate processing by the central nervous system, or a combination of both. It is thus important to consider what underlying mechanisms could be responsible for this performance gap.

The first possibility is that acoustic cues in the envelope are not sufficient to convey binaural information about NOS0 and NOS π . However, this is unlikely since NH listeners using the CI simulation had thresholds that were nearly the same as in the unprocessed condition (see Fig. 9). In NH listeners, BMLDs for both the unprocessed and transposed tones were nearly the same, but a reduction of about 6 dB for the transposed tone was noted for a 250 Hz signal (van de Par and Kohlrausch, 1997). In order for the results to be comparable, there must be sufficient acoustic cues remaining in the envelope since fine timing information is discarded by the CI simulation. Despite the same envelope extraction of the signal, actual CI users had higher thresholds than simulated CI, particularly with NOS π . For the unprocessed condition, the NH group had BMLD values lower than in litera-

ture. Some of this may be due to the minimal training and inexperience of the subjects, compared to those in [van de Par and Kohlrausch, 1997](#), most of whom were reported to have experience in masking experiments.

A second possible mechanism is that the CI processor cannot adequately convey the acoustic cues in the envelope in time or amplitude. Under these experimental conditions, the pulse rate was set at 1000 and 2000 pps, which was fast enough to represent the signal frequencies used in this study. At high pulse rates (800 pps) ITD sensitivity to the pulses is very poor ([van Hoesel and Tyler, 2003](#)), and although this may contribute to some drop in performance, the cues in this study were all based on the envelope rather than in the interaural pulse timing.

A third possibility related to mechanism lies in the electrode-neural interface, such as the availability of suitably pitch-matched electrodes and effects of channel interactions. Because electrode array insertions are rarely identical, electrically elicited pitches are often offset between the two sides. The CI users tested here, and CI1, in particular, commented that when alternately switching between their own left and right processors they perceived there to be a noticeable pitch difference. Although pitch-matching was not directly addressed by the experiments in the present study, it has been shown psychophysically ([Henning, 1974](#); [Nuetzel and Hafter, 1981](#)) and physiologically (e.g., [Blanks et al., 2007](#)) that the binaural system is most effective when the frequency of sounds between the left and right are nearly the same. In fact, in normal hearing listeners, ITD judgments deteriorate with mismatch in carrier frequency ([Henning, 1974](#); [Nuetzel and Hafter, 1981](#)). For CI users, pitch-matched pairs of electrodes are necessary for ITD sensitivity ([van Hoesel and Clark, 1997](#); [Lawson et al., 1998](#); [Long et al., 2003](#)) and BMLD ([Long et al., 2007](#)).

Since it appeared that envelope was sufficient for transmitting cues for BMLD in this study, we examined whether there were others cues that were contributing to BMLD values. Despite the poor ability to discriminate modulation frequencies ([Zeng, 2002](#)), CI users can detect temporal fluctuations occurring up to 4000 Hz ([Shannon, 1992](#)). Measuring monaural performance can help to reveal the contribution of binaural processing. To be able to detect the signal in noise under these conditions puts more emphasis on temporal discrimination since a single channel was used and no binaural cues were available. Because MLDs were not significant in this study, BMLDs measured here likely result from true binaural hearing rather than independent and monaural discrimination of envelopes by each ear. Only when both ears were used was there a significant difference in threshold. Since the noise masker was randomly generated for each trial, there is no practical difference between NmSm and NmS-m.

D. Central auditory mechanisms affecting BMLD: Auditory deprivation

The issues discussed so far have been related to technical limitations of the cochlear implant system in processing and delivering binaural stimuli rather than the central auditory mechanisms that underlie binaural sensitivity. Even if

normal peripheral processing could be restored in CI users, the central auditory system can still fail to effectively process binaural information. Both the limitations of CI users to discriminate high modulation frequencies ([Zeng, 2002](#)) and poor ITD sensitivity ([van Hoesel et al., 2002](#); [van Hoesel, 2007](#); [Laback and Majdak, 2008](#); [Litovsky et al., 2010](#)) are examples where central auditory processing showed deficits despite temporally precise delivery of electrical stimuli to the auditory periphery.

In comparing NH listeners using simulations to actual CI users, there are two assumptions to be made about the simulation and the comparison. First is that the vocoder is an accurate simulation of what CI users hear. Gaussian-enveloped tones have not been used in the context of a vocoder, but they have been used for psychophysical, including binaural, experiments ([Buell and Hafter, 1988](#); [van den Brink and Houtgast, 1990](#)). Although it would be possible to conduct a similar experiment with a one-channel noise-excited vocoder using band-limited noise, the Gaussian-enveloped tone vocoder may be a better choice. With a noise-excited vocoder, the carrier is noise. There may be interactions between noise in the carrier and any masking noise present in the envelope, particularly in the NOS0 condition. That is, the noise in the carrier may contribute to noise in the envelope such that for normal hearing listeners using the noise vocoder, this becomes a more difficult task without contributing additional insight to BMLD performance. The Gaussian tone pulses do not have this issue as the fine-structures of the tones are controlled and the amplitudes at each time point are defined algorithmically and not influenced by stochastic fluctuations in a noise carrier. For comparison, the NOS0 thresholds were higher for the noise vocoder than it was for the Gaussian-enveloped tone. Although the noise vocoder was implemented with broadband noise, the problem using a band-limited signal to simulate a specific channel remains the same since there is still an issue of a noise carrier interacting with masking noise in the envelope.

If the validity of the vocoder as a simulation is accepted, then it can be assumed that the peripheral auditory systems of NH and CI are receiving equivalent inputs. Therefore, performance differences between the two groups will depend on their ability to process binaural information. With an acoustic CI simulation, differences between NH and CI not explained by pitch-matching and channel interaction may point toward the central auditory system. As a population, the thresholds of CI users lagged behind NH listeners by nearly 10 dB for NOS π and but only 2.4 dB for NOS0. This suggests that the binaural performance difference may be in part due to physiological limitations of processing binaural information in the central auditory system. BMLDs for unprocessed stimuli reported here are smaller than those reported in literature ([van de Par and Kohlrausch, 1997](#)). Individually, at least one subject (NH4) had a BMLD of 13.9 dB for the unprocessed stimuli. The lower scores may be attributable to the inexperience of the test subjects (most were undergraduate students) compared to the more experienced subjects in [van de Par and Kohlrausch, 1997](#), all but one of whom were indicated as laboratory colleagues.

Examining the clinical data, the best performers in this

study, CI1 and CI5, had the longest experience with bilateral CI use and had prior experience with research testing. Their better performance would be consistent with having a longer recovery of binaural function (Laszig *et al.*, 2004; Buss *et al.*, 2008). The small BMLD values of CI2 may be related to the early onset of hearing impairment. CI3 had late onset of hearing loss, and only 1 year less bilateral experience than CI1 and CI5. Based on this subject's clinical history larger BMLDs would have been expected. CI4 had 3 years of bilateral CI experience. There was some difficulty in finding suitably pitch-matched electrode pairs as noted by the right electrode being matched to apical and middle electrodes on the left over the course of the testing. Although the clinical data do appear to be on first inspection consistent with the BMLD levels of the subjects, due to the small sample size, the various causes of deafness, hearing aid history, and duration of implant use, it would be difficult to predict BMLD performance with any confidence from duration of deafness from the clinical data.

E. Future directions

Despite the large variability between subjects, BMLDs from these single pairs of electrodes (4.6 dB average, and ~9 dB for the top two performers) were still larger than BMLDs for speech (<1.5 dB) reported in CI. The data presented here thus do not fully account for this performance gap. One direction that should be taken is to evaluate BMLDs with multiple and simultaneous masking electrodes. Would the binaural cues presented in one pair of electrode be sufficiently degraded by adjacent masking electrodes such that BMLDs are reduced? Addressing this issue would help to answer to what degree channel interactions affect binaural hearing and provide some clue as to what other factors are limiting BMLD in CI.

V. CONCLUSION

BMLDs were measured in five bilateral CI subjects using the Spear3 research processor. Stimuli were delivered through pitch-matched and loudness-balanced electrode pairs. The cochlear position of the electrode pair was varied as was the signal frequency. Normal hearing listeners were tested with unprocessed and acoustic CI simulations. The conclusions are as follows.

- (1) Average BMLD over all stimulus conditions was 4.6 ± 4.9 dB. Significant BMLDs were observed in at least one electrode pair and signal frequency condition for four out of five subjects, with BMLDs varying no more than 3–5 dB over electrode pairs and stimulus conditions. Performance variability was high between subjects and average BMLD values per subject ranged from 1.2 to 10.7 dB;
- (2) For the subject group in this study, the effects of phase and electrode pair were significant when considering only the 125 Hz signal frequency condition, as in a prior study, suggesting that BMLDs are more likely to be observed for lower signal frequencies.

- (3) Monaurally detectable differences in NmSm and NmS-m were not sufficient to account for BMLDs.
- (4) NH listeners had similar unprocessed and simulated CI thresholds for N0S0 and N0S π . Actual CI users had higher thresholds than NH with simulated CI, but the difference was more pronounced for N0S π , implying a central binaural processing deficit.

The present results support prior studies that demonstrate binaural processing in CI users. However, a performance gap in BMLD still exists between even the best CI users in this study and NH listeners and may be related to a peripheral mechanism such as pitch mismatch, a central mechanism in a deprived auditory system, or a combination of both. The differences in actual and simulated CI performances point to a deficit in true binaural hearing that may have resulted from long-term deprivation of the auditory system.

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